

## USE OF THE INTERFERON RECEPTOR 2c POLYPEPTIDE CHAIN TO ENHANCE THE ANTI-GROWTH EFFECTS OF TYPE I INTERFERONS

This application claims benefit of U.S. Provisional Application No.  
5 60/220,844, filed July 26, 2000, which is incorporated by reference in its entirety.

### **BACKGROUND OF THE INVENTION**

Since their initial discovery in 1957, interferons, originally described as factors  
that interfered with viral infection, have been widely studied. Interferons (IFNs) are  
10 now recognized as an integral part of the body's natural defense system, and they are  
used successfully as therapeutic agents for the treatment of a number of human  
diseases. IFNs are segregated into two classes defined as either type I or type II. Type  
I IFNs include a family of related proteins, IFN $\alpha$ , IFN $\beta$ , IFN $\omega$ , IFN $\tau$  and IFN $\delta$ ,  
whereas type II IFN consists of one protein, IFN $\gamma$ , which has limited homology to  
15 type I IFNs.

Two receptor proteins, IFNAR1 and IFNAR2 are known to be involved in type  
I IFN binding.

### **DESCRIPTION OF THE INVENTION**

20 The present invention relates to methods of potentiating the effects of effector  
ligands by increasing the number of functional receptors for receptor ligands on the  
cell surface. As used herein, increasing the number of functional receptors means any  
amount of expression of functional receptor in excess of the amount normally  
expressed by the cell. Thus, the increase may be accomplished by increasing the total  
25 number of receptor proteins on the surface of the cell or by replacing non-functional  
receptor protein with functional receptor protein, such as, for example, by  
mutagenesis. "Potentiate", as used herein, means any enhancement of the effects of  
the effector ligand.

In one aspect, the present invention relates to a method of potentiating the  
30 effects of an effector ligand on a target cell population comprising tumor cells by  
increasing the number of functional receptors for the effector ligand on the cell surface  
of modified cells within the target cell population and then treating the modified cells

with a therapeutically effective amount of the effector ligand. As used herein, a target cell population may comprise one or more cells and modified cells within the target cell population may comprise one or more cells of the target cell population.

In another aspect, the present invention relates to a method of potentiating the effects of IFN on a target cell population by increasing the number of functional receptors for IFN on the cell surface of modified cells within the target cell population and then treating the modified cells with a therapeutically effective amount of IFN. Effects of IFN include, for example, antiviral effects, anti-growth effects, and immunoregulatory effects. For example, it has been found that increasing the expression of the IFNAR2c polypeptide on the surface of cells within a target cell population potentiates the effects of type I IFN on cells of the target population. In particular, increasing the number of functional receptors for type I IFN on the cell surface of modified cells within a target cell population can potentiate the anti-growth effects of type I IFN on the target cell population.

As used herein, anti-growth effects include anti-proliferative and apoptotic effects, as well as any other effects which result in cell death, a cessation of cell growth, or the slowing of cell growth. Anti-proliferative effects, as used herein, includes, for example, cell cycle arrest or an increase in cell cycling time, as well as the induction of inducers of apoptosis, the induction of factors negatively regulating protein synthesis, DNA synthesis, or RNA synthesis, or the activation of inhibitors of metabolic pathways.

Without wishing to be limited by any theory of the invention, the inventors believe that the type I IFN acts directly on cells with increased IFNAR2c expression to elicit these anti-growth effects. However, it is also contemplated as part of this invention that, in lieu of the direct effect or in addition to the direct effect, type I IFN may exhibit anti-growth effects via a bystander effect, wherein the type I IFN acts on the cells with increased IFNAR2c expression to elicit the secretion of a factor which has an anti-growth effect on adjacent cells.

Accordingly, the present invention relates to methods of potentiating the anti-growth effects of a type I IFN on a target cell population by increasing the number of functional IFNAR2c receptor chains expressed on the surface of modified cells within the target cell population and then treating the modified cells with a therapeutically

effective amount of at least one effector ligand which binds to the type I IFN receptor. Increasing the number of functional IFNAR1 receptor chains on the surface of the cell is also contemplated as part of this invention. A preferred effector ligand which binds to the type I IFN receptor is a type I IFN.

5           “Modified cells”, as used herein, means cells which have been modified to express increased levels of a functional receptor for an effector ligand on the cell surface. Modified cells may include cells that have been modified *in vivo* or *ex vivo* to express increased levels of the functional receptor for the receptor ligand. Modified cells which are modified *ex vivo* can be subsequently transferred to the target cell  
10           population after *ex vivo* modification. Modified cells may also include naturally occurring cells which express relatively high levels of the effector ligand receptor and which are added to the target cell population.

          As used, herein, an effector ligand refers to any molecule which binds to the effector ligand receptor and which achieves at least partial activation of the effector  
15           ligand receptor. Effector ligands include, but are not limited to, naturally occurring effector ligands, modified effector ligands, chimeric effector ligands, effector ligand mimetics, or antibodies to the effector ligand receptor.

          Preferred effector ligands include, for example, growth factors, cytokines, chemotactic factors, and hematopoietic factors. Particularly, preferred effector ligands  
20           include, but are not limited to, the following: IFNs; tumor necrosis factors (TNF), for example, TNF $\alpha$  and TNF $\beta$ ; interleukins (IL), for example, IL-1, IL-2, IL-3, IL-4, IL-5, IL-6, IL-7, IL-8, IL-9, IL-10, IL-11, IL-12, and IL-13; colony stimulating factors (CSF), for example, granulocyte-macrophage-CSF (GM-CSF), monocyte-CSF (M-CSF), granulocyte-CSF (G-CSF); erythropoietin (EPO); stem cell factor (SCF);  
25           leukemia inhibitory factor (LIF); epidermal growth factor (EGF), Oncostatin M (OSM), chemokine receptor 1 or 5 (CCR1 or CCR5), etc.

          A particularly preferred effector ligand is an effector ligand which binds to a type I IFN receptor, including type I IFNs. As used herein type I IFNs include IFN $\alpha$ , IFN $\beta$ , IFN $\omega$ , IFN $\tau$  and IFN $\delta$ , or any newly defined type I IFN, all of which may be  
30           used in accordance with the invention. In accordance with the invention, the type I IFN employed may be, for example, any subtype with anti-growth activity, antiviral activity, or immunoregulatory activity. The subtype may be a naturally occurring or a

recombinant subtype, including hybrids of two or more subtypes, or analogs thereof. Anti-growth activity may be determined by any techniques that are well known in the art, including those described in the examples below. *Methods in Enzymology*, Vol. 119, ed. Sidney Pestka. A type I IFN used in accordance with this invention

5 preferably has an anti-growth activity such that thymidine incorporation in HT1080 cells is inhibited by 5%, preferably 10%, more preferably 15% after incubation for 24 hours in an optimal concentration of the IFN. Mixtures of different subtypes may also be used. Preferably, the type I IFNs that may be used in accordance with this invention include IFN $\alpha$  and IFN $\beta$ , including but not limited to the following: IFN $\beta$

10 subtypes, including but not limited to IFN $\beta$ 1b and IFN $\beta$ 1a; IFN $\alpha$  subtypes including but not limited to IFN $\alpha$ 2, IFN $\alpha$ 5, IFN $\alpha$ 13, IFN $\alpha$ 6, IFN $\alpha$ 14, IFN $\alpha$ 16, IFN $\alpha$ 21, IFN $\alpha$ 10, IFN $\alpha$ 46, IFN $\alpha$ 46, IFN $\alpha$ 7, and consensus alpha IFNs. Examples of IFNs that may be used in conjunction with the invention include but are not limited to the following. For IFN $\beta$ 1b, "Betaseron", a recombinantly produced human IFN $\beta$ ,

15 wherein the cysteine residue at the 17 position has been replaced by serine, as disclosed and claimed in U.S. Patent No. 4,588,585, may be employed. Additionally, a recombinantly produced IFN $\beta$ 1a, which is produced in Chinese hamster ovary (CHO) cells, may also be employed. For IFN $\alpha$ , human alpha-IFN products Intron® (Schering-Plough), Roferon® (Hoffman-LaRoche) and Infergen® (Amgen) may be

20 employed. Other IFNs which may be employed include consensus type I alpha IFNs as described, for example in U.S. Patent Nos. 4,695,623, 4,897,471, and 5,541,293. Interferons employed as part of this invention may also be modified by conjugation to other molecules, such as described for example, in U.S. Patent No. 5, 981,709.

It is contemplated as part of this invention that the number of functional

25 effector ligand receptors on the surface of a modified cell may be increased in a variety of different ways. For example, up-regulation of gene expression of the IFNAR2c gene may be employed to increase the number of IFNAR2c receptor proteins on the cell. Up-regulation of gene expression may be accomplished, for example, by introducing an exogenous polynucleotide encoding the IFNAR2c

30 polypeptide into the modified cells or by positively affecting gene transcription of the endogenous IFNAR2c gene or an exogenous IFNAR2c gene in the modified cells. For example, up-regulation of gene expression may be accomplished by stimulating

the promoter or other regulatory sequences, either directly or indirectly, or by activating genes which stimulate IFNAR2c polypeptide production. For example, small molecules may be employed to stimulate the promoter of the IFNAR2c gene. Small molecules that up-regulate transcription may be identified using techniques that are well known in the art. Additionally, various methods that are well known in the art may be employed to increase the stability of messenger RNA coding for the IFNAR2c protein or to increase stability of the IFNAR2c polypeptide.

A preferred method for increasing the number of functional IFNAR2c polypeptides on the surface of modified cells is by the introducing at least one exogenous polynucleotide encoding an IFNAR2c polypeptide into the modified cells. Any exogenous IFNAR2c gene may be used in accordance with the invention, including, for example, the human IFNAR2c gene or any other mammalian IFNAR2c gene such as mouse, rat, etc. The DNA sequence of a gene encoding the full-length human IFNAR2c polypeptide, and the corresponding amino acid sequence, are described in Lutfalla et al., 1995, *EMBO J.* 14(20):5100-5108; Domanski et al., 1995, *J. Biol. Chem.* 270:21606-21611; and SEQ ID NOS. 3 and 4, filed herewith. The DNA sequence of a gene encoding the full-length murine IFNAR2c polypeptide, and the corresponding amino acid sequence are shown in Owczarek et al., 1997, *J. Biol. Chem.* 272:23865-23870 and SEQ ID NOS. 1 and 2, filed herewith. A partial DNA sequence of the gene encoding the human IFNAR2c polypeptide are also disclosed in Novick, U.S. Patent No. 5,821,078, EP 0 588 177 A2, and EP 0676 413 A2. As used herein, an exogenous IFNAR2c gene refers to any IFNAR2c gene which is added to the cells, including the addition to the cell of another copy of any endogenous IFNAR2c gene.

The invention relates not only to increasing the number of naturally occurring IFNAR2c polypeptides on the surface of a target cell, but also to increasing the number of mutant IFNAR2c polypeptides on the surface of a cell. For example, changes in the amino acid sequence of IFNAR2c are contemplated in the present invention. The IFNAR2c polypeptide can be altered by changing the DNA encoding the protein. Conservative amino acid sequence alterations are preferred, using amino acids that have the same or similar properties. Illustrative amino acid substitutions include the following changes: alanine to serine; arginine to lysine; asparagine to

glutamine or histidine; aspartate to glutamate; cysteine to serine; glutamine to asparagine; glutamate to aspartate; glycine to proline; histidine to asparagine or glutamine; isoleucine to leucine or valine; leucine to valine or isoleucine; lysine to arginine, glutamine, or glutamate; methionine to leucine or isoleucine; phenylalanine to tyrosine, leucine or methionine; serine to threonine; threonine to serine; tryptophan to tyrosine; tyrosine to tryptophan or phenylalanine; valine to isoleucine or leucine.

Polynucleotide sequences of the invention include DNA, cDNA and RNA sequences which encode IFNAR2c polypeptides. Such polynucleotides include naturally occurring, synthetic, and intentionally manipulated polynucleotides. For example, portions of the mRNA sequence may be altered due to alternate RNA splicing patterns or the use of alternate promoters for RNA transcription. As another example, IFNAR2c polynucleotides may be subjected to site-directed mutagenesis. The invention includes any polynucleotide encoding an IFNAR2c polypeptide having biological activity. Biological activity of an IFNAR2c polypeptide, as used herein, refers to a polypeptide which, when expressed on the surface of a cell, has one of the following activities: (1) binds a type I IFN; (2) is able to potentiate at least some IFN-mediated activity when dimerized with IFNAR1; (3) antibody binding activity, wherein antibodies directed against IFNAR2c inhibit or block at least one IFN-mediated activity.

Suitable target cell populations for the practice of the invention include any cell population which would benefit from a potentiation of IFN-mediated activity. For example, proliferative cell conditions may be treated in accordance with this invention. Proliferative cell condition, as used herein, includes any condition wherein it is desirable to limit cell proliferation, including cancer or neoplastic conditions. Cancer cells include but are not limited to cells involved in hairy cell leukemia, multiple myeloma, Kaposi's sarcoma, cervical neoplasia, basal cell carcinoma, squamous cell carcinoma, melanoma, renal cell carcinoma, carcinoid tumors, cutaneous T cell lymphoma, non-Hodgkins's lymphoma, head and neck tumors, and breast, lung and prostate tumors, pancreatic tumors, and adenocarcinomas. Cancer cell populations which are part of solid tumors are particularly contemplated as part of this invention. Additionally, inoperable cancers such as brain cancer, pancreatic

cancer, and later stage metastatic disease are particularly contemplated as part of the invention.

Cells involved in proliferative cell conditions include cell types involved in myeloproliferative disorders. Examples of myeloproliferative disorders include, for example, chronic myelogenous leukemia, polycythaemia vera, agnogenic myeloid metaplasia and idiopathic thrombocythaemia. Proliferative cell conditions also include conditions, such as, for example, coronary restenosis. The use of type I IFNs for the treatment of coronary restenosis is described for example in U.S. Patent No. 5,681,558.

It is also contemplated as part of this invention that the methods of this invention may be used in conjunction with the administration of other growth regulator polypeptides to the target cell population. For example, according to the methods of the invention, IFN may be administered to the target cell population containing cells modified to express increased levels of an IFNAR2c polypeptide in conjunction with the administration to the target cell population of other growth regulator polypeptides such as growth factors or cytokines, including for example interleukins. Additionally, in accordance with the invention, cells of the target cell population may be modified to express increased amounts of more than one type of effector ligand receptor, such as for example, a type I IFN receptor and an interleukin receptor, either on the same modified cells or different modified cells within a target cell population, with subsequent treatment with the corresponding effector ligands.

The present invention also provides gene therapy for the treatment of proliferative cell conditions. Such therapy would achieve its therapeutic effect, for example, by introduction of the IFNAR2c gene into modified cells of the target cell population, followed by treatment of the modified cells with a type I IFN or any other effective ligand to the IFN receptor. The ligand to the IFN receptor may be added exogenously or produced endogenously. The IFNAR2c gene may be delivered to the organism in any effective manner, e.g. using a vector or other delivery vehicle, or as naked DNA. DNA delivery vehicles can include viral vectors such as adenoviruses, adeno-associated viruses, and retroviral vectors. See, for example: Bilbao et al., 1998, *Tumor Targeting* 3:59-79; Yia-Herttua and Martin, 2000, *Lancet* 355:213-222; Chu et al., 1994, *Gene Therapy* 1:292-299; Couture et al., 1994, *Human Gene*

Therapy 5:667-677; Eiverhand et al., 1995, *Gene Therapy* 2:336-343. Non-viral vectors which are also suitable include DNA-lipid complexes, for example liposome mediated or ligand/poly-L-lysine conjugates, such as asialoglyco-protein-mediated delivery systems. See, for example: Feigner et al., 1994, *J. Biol. Chem.* 269:2550-2561; Derossi et al., 1995, *Restor. Neurol. Neuros.* 8:7-10; and Abcallah et al., 1995, *Biol. Cell* 85:1-7. Retroviruses from which the retroviral vectors may be derived include but are not limited to Moloney murine leukemia virus (MoMuLV), Harvey murine sarcoma virus (HaMuSV), murine mammary tumor virus (MuMTV), and Rous Sarcoma Virus (RSV). It is also contemplated as part of this invention that the ligand, or a gene encoding the ligand, may be included in the gene therapy delivery vehicle along with the gene encoding the IFNAR2c polypeptide.

The vector is administered to the host either locally or systemically. Typically, the vector is administered systemically by intravenous injection. Suitable viral titers will depend on a number of factors, such as the particular vector chosen, the host, the mode of administration, the strength of the promoter used, and the severity of the disease being treated. For mice, an adenovirus vector is preferably administered as an injection at a dose range of from about  $5.0 \times 10^6$  to about  $10 \times 10^6$  plaque forming units (PFU) per gram body weight. Preferred dosages range from at least about  $6-9 \times 10^6$  PFU per gram of body weight, and more preferred is from at least about  $6.7-8.6 \times 10^6$  PFU per gram of body weight.

Animals which contain modified cells that express increased levels of the IFNAR2c gene are useful models for studying the effect of type I IFN on target cell populations that contain the modified cells. This invention is specifically directed to gene therapy in humans. Also contemplated as part of this invention is the use of gene therapy in animals, including household pets and farm animals.

Administration of a type I IFN, or other ligand, in pure form or in an appropriate pharmaceutical composition can be carried out via any of the accepted modes of administration. The type I IFN may be administered locally or systemically. Thus administration can, for example, be orally, nasally, parenterally, topically, transdermally, or rectally. The type I IFN may be administered as solid or semi-solid dosage forms, lyophilized powder, or liquid dosage forms, including for example, tablets, suppositories, pills, soft elastic and hard gelatin capsules, powders, solutions,



suspensions, or aerosols, or the like, preferably in unit dosage forms suitable for simple administration of precise dosages. The pharmaceutical conditions will typically include a conventional pharmaceutical carrier or excipient and the type I IFN. The compositions may also include other medicinal agents, pharmaceutical agents, carriers, adjuvants, etc. For a brief review of present methods for drug delivery, see Langer, 1990, *Science* 249:1527-1533, which is incorporated herein by reference.

The preferred route of administration of the type I IFN is parenterally, using a convenient daily dosage regimen. For such parenteral administration for example, a pharmaceutically acceptable composition containing a human  $\beta$ -IFN may be formulated by methods disclosed in U.S. Patent Nos. 4,462,940, 4,588,585 and 4,992,271.

By "therapeutically effective amount", as used herein, is meant the quantity of a type I IFN sufficient to induce anti-proliferative effects in the target cell population. Amounts effective for this use will, of course, depend on the condition being treated and the weight and general state of the subject. Various considerations are described, e.g., in Gilman et al. (eds.) (1990) *Goodman and Gilman's: The Pharmacological Bases of Therapeutics*, 8th ed., Pergamon Press; and *Remington's Pharmaceutical Sciences*, 17th ed. (1990), Mack Publishing Co., Easton, PA, each of which is herein incorporated by reference.

A therapeutically effective dose of a type I  $\beta$ -IFN in a human is typically, for example, about 0.05 mg Betaseron to about 0.25 mg Betaseron, administered subcutaneously, every other day. Therapeutically effective amounts of other interferon products, including other  $\beta$ -IFN products, may be determined routinely by one of skill in the art.

It is also contemplated as part of this invention that a gene encoding an IFNAR2c polypeptide will be delivered to the target cell population in conjunction with gene encoding a type I IFN. The IFNAR2c gene and the type I IFN gene may be delivered to the same cells or different cells of the target population. The IFNAR2c gene may delivered in the same composition and/or the same vector as the type I IFN gene. For example, the type I IFN gene and the IFNAR2c gene may be delivered as

part of the same viral vector, for example, an adenovirus vector. Additionally, it is also contemplated as part of this invention that the IFN gene may be delivered to cells that are adjacent to cells of the target population. Additionally, type I IFN may be delivered to the target cells by the implantation of cells expressing type I IFN in or  
5 near the target cell population.

### **BRIEF DESCRIPTION OF THE FIGURES**

Figure 1 is a series of histograms showing that cells transfected with the IFNAR2c gene express elevated levels of high affinity type I IFN receptors. Data  
10 represents the average of three separate points and standard errors are less than 10 percent of the average. Non-specific binding was measured in the presence of a 100-fold excess of unlabeled IFN. Panel A shows results obtained with transfected HT1080 cells. Panel B shows results obtained with transfected MDA231 cells. Panel C shows results obtained with U5A cells, which do not express IFNAR2c, including  
15 cells transfected with truncation mutants of the IFNAR2c gene.

Figure 2 is a histogram showing that U5A cells that were rescued by transfection with a functional IFNAR2c gene are extremely sensitive to the anti-proliferative activities of IFN $\beta$ 1b in comparison to untransfected HT1080 cells. Data represents the average of three separate points and standard deviations were less  
20 than 15 percent of the average.

Figure 3 is a histogram showing that HT1080 cells and HT1080 cells transfected with the IFNAR2c gene (HTbetaL.2) were sensitive to both incubation times with IFN $\beta$ 1b and concentrations of IFN $\beta$ 1b, although HTbetaL.2 cells showed enhanced sensitivity.

Figure 4 is a histogram showing a comparison of the anti-growth effects of  
25 5000 International Units ("IU")/ml of human IFN $\beta$ 1b (Betaseron) or human IFN $\alpha$ 2 on HT1080 cells and HT1080 cells transfected with the IFNAR2c gene, using a thymidine incorporation assay. Data is expressed as fold inhibition of cell growth.

Figure 5 is a histogram showing a comparison of the anti-growth effects of IFN $\beta$ 1b and IFN $\alpha$ 2 on HT1080 cells transfected with the IFNAR2c gene using an Alamar Blue assay.

Figure 6 shows photographic images of IFNAR2c transfected HT1080 (HTbetaL.2) cells after treatment of the cells with IFN $\beta$ 1b. Panels A through D are as follows: Panel A, untreated cells (10X magnification); Panel B, treated cells (10X magnification); Panel C, treated cells (20X magnification); and Panel D, treated cells (40X magnification). Cells were treated with 500 IU/ml of IFN $\beta$ 1b.

Figure 7 shows photographic images of IFNAR2c transfected MDA231 cells after treatment of the cells with IFN $\beta$ 1b. Panels A and B show untreated and treated parental MDA231 cells, respectively, at 10X magnification. Panels C and D show treated and untreated IFNAR2c transfected MDA231 cells, respectively, at 10X magnification. Cells were treated with 500 IU/ml of IFN $\beta$ 1b.

Figure 8 is a series of microscopic images that show the results of an apoptosis assay (TUNEL assay) of the effects of IFN $\beta$ 1b treatment of HT1080 cells that were transfected with a gene encoding the IFNAR2c receptor protein (HTbetaL.2 cells). Panels A-D are as follows: Panels A and B, untreated HTbetaL.2 cells, brightfield view and fluorescent microscope view, respectively; Panels C and D, IFN $\beta$ 1b treated HTbetaL.2 cells, brightfield view and fluorescent microscope view, respectively; Panels E and F, parental HT1080 cells, brightfield view and fluorescent microscope view, respectively. Brightfield images Panels A, C, and E represent greater than 80% confluent cell layer. FITC labeled nucleotides were used to label DNA fragments characteristic of apoptotic cells (Panels B, D, and F). Cells were treated with 500 IU/ml of IFN $\beta$ 1b. Magnification for Panels A-F was 10X.

Figure 9 is a series of microscopic images that show the results of an apoptosis assay (TUNEL assay) of the effects of IFN $\beta$ 1b treatment of MDA231 cells that were transfected with a gene encoding the IFNAR2c receptor protein (MDAbetaL.2 cells). Panels A-F are as follows: Panels A and B, untreated MDAbetaL.2 cells, brightfield view and fluorescent microscope view, respectively; Panels C and D, IFN $\beta$ 1b treated MDAbetaL.2 cells, brightfield view and fluorescent microscope view, respectively; Panels E and F, parental MDA231 cells, brightfield view and fluorescent microscope

Figure 10 is a histogram that shows the *in vivo* anti-growth activity of IFN $\beta$ 1b (Betaseron) on LOX human melanoma cells and on LOX-IFNAR2c cells (LOX cells transfected with a functional IFNAR2c gene) using a mouse lung seeding model. The LOX-IFNAR2c cells showed enhanced sensitivity to 200  $\mu$ g Betaseron given intraperitoneally every other day.

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### **Example 1.**

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Three cell lines transfected with IFNAR2c genes were evaluated for expression of high affinity type I IFN receptors by ligand binding assays using a phosphorylated form of IFN $\alpha$ 2. High affinity ligand binding has previously been demonstrated to occur only when both IFNAR1 and IFNAR2c are expressed on the cell surface (Russell-Harde et al., 1995, *J. Biol. Chem.* 270:26033-26036). Therefore,

ligand binding was used to confirm the expression of IFNAR2c receptor protein on the surface of cell lines transfected with IFNAR2c genes. The cell lines employed were as follows:

(i) HT1080 cells. A human lung fibrosarcoma cell line (ATCC No. CCL-121).

5 (ii) U5A cells. U5A cells are derived from HT1080 and are HT1080 cells that were selected for lack of IFNAR2c protein expression. U5A cells lack of the ability to respond to type I IFN (Pellegrini, et al., 1989, *Mol. Cell. Biol.* 9:4605-4612; Lutfalla et al., 1996, *EMBO J.* 14:5100-5108.

10 (iii) MDA231 cells. A human breast epithelial adenocarcinoma cell line (ATCC No. HTB-26).

HT1080 and MDA231 cell lines were purchased from the American Type Tissue Culture (ATCC), and all cell lines were grown at 37°C in 5% CO<sub>2</sub>.

Cells were transfected using Superfect (Qiagen Inc.), with expression plasmids containing full-length or mutant forms of human IFNAR2c. Plasmids containing  
15 genes encoding IFNAR2c truncation mutations or a tyrosine to phenylalanine mutation were constructed as previously described (Domanski et al., 1997, *J. Biol. Chem.* 272:26388-26393; Nadeau et al., 1999, *J. Biol. Chem.* 274:4045-4052). Stable transfected cell lines were selected in G418 (1.0 mg/ml). After selection, individual clones were picked and expanded, and integration of the IFNAR2c gene was  
20 confirmed by PCR analysis using intron spanning primers specific for IFNAR2c cDNA. Positive clones were further expanded and tested for their ability to bind type I IFN.

Ligand binding assays were performed as essentially described in Croze et al., 1996, *J. Biol. Chem.* 271:33165-33168. IFN $\alpha$  with a specific activity 3.0 x 10<sup>8</sup> IU/mg  
25 was obtained from PeproTech Inc. (Rocky Hill, NJ) and the IFN $\alpha$ 2 ligand was phosphorylated to a specific activity of 60-62  $\mu$ Ci/ $\mu$ g as previously described (Croze et al., 1996, *J. Biol. Chem.* 271:33165-33168). Ligand binding was analyzed by the addition of phosphorylated IFN $\alpha$ 2 (at a concentration of 176 pM) to 200,000 cells for ninety minutes. Non-specific binding was determined by adding 100-fold excess of  
30 unlabeled IFN $\alpha$ 2. Binding data were analyzed by Scatchard analysis.

Parental HT1080 cells bound IFN $\alpha$ 2 with relatively high affinity ( $K_d \sim 290$  pM) and 9,000 receptor sites per cell were measured by Scatchard analysis. Three stable clones of HT1080 cells transfected with the wild-type IFNAR2c gene (HTbetaL.1, HTbetaL.2, and HTbetaL.4) were analyzed for IFN $\alpha$ 2 binding. Two of the clones, HTbetaL.1 and HTbetaL.2, bound  $\sim 8$ -fold more ligand (34-37 pM bound) than parental HT1080 cells, whereas the third clone (HTbetaL.4) bound approximately two-fold more ligand (11-12 pM bound) than parental HT1080 cells (Figure 1, Panel A).

Cells of the human breast adenocarcinoma cell line MDA231 were also transfected with a wild-type IFNAR2c gene. Two stable clones, MDAbetaL.1 and MDAbetaL.2, were analyzed for IFN $\alpha$ 2 binding. The parental MDA231 cell line bound relatively low levels of IFN $\alpha$ 2 (2-3 pM). Each of the transfected clones bound approximately ten-fold more IFN $\alpha$ 2 than the parental MDA231 cell line (Figure 1, Panel B).

U5A cells, which do not express the IFNAR2c receptor protein, were transfected with a wild-type IFNAR2c gene and four mutated genes, including three truncation mutants (R2.462, R2.417, R2.346) and a full tyrosine to phenylalanine substitution mutation (R2.Y-F) (a substitution of tyrosines at positions 269, 306, 316, 318, 337, 411 and 512 of SEQ ID NO. 3 with phenylalanine). U5A cells transfected with a wild-type IFNAR2c gene bound 13-fold more IFN than the parental HT1080 cells (Figure 1, Panel C). Stable clones expressing either the R2.462 or the R2.346 truncation mutation, or the (R2.Y-F) deletion mutation, bound IFN $\alpha$ 2 at levels 5 to 10-fold greater than HT1080 cells (Figure 1, Panel C). Stable clones expressing the R2.417 truncation mutation bound IFN $\alpha$ 2 at approximately the same level as HT1080 cells ( $\sim 9000$  binding sites).

### **Example 2.**

Enhancing the sensitivity of U5A and HT1080 cells to the anti-proliferative effects of IFN $\beta$ 1b by transfecting the cells with an IFNAR2c gene.

U5A cells that were transfected with wild-type and mutant IFNAR2c genes were tested for sensitivity to the anti-proliferative effects of IFN $\beta$ 1b in comparison to

U5A cells. HT1080 cells that were transfected with a wild-type IFNAR2c gene were also tested for sensitivity to the anti-proliferative effects of IFN $\beta$  and IFN $\alpha$  in comparison to HT1080 cells. Cells were tested for sensitivity to the anti-proliferative effects of IFN $\beta$ 1b using a thymidine incorporation assay.

5           Cells were seeded in 24-well culture plates at a density of  $2 \times 10^4$  cells/well, 1 ml/well, and incubated with human IFN $\beta$ 1b at a concentration of 1000 IU/ml for 24 hours. Human IFN $\beta$ 1b (specific activity  $2.5 \times 10^7$  IU/mg) was produced as described in (Russell-Harde, 1995, *J. Interferon Cytokine Res.* 15:31-37). At time zero, complete media containing tritiated thymidine ([methyl- $^3$ H]thymidine, specific  
10           activity = 40-60 Ci/mmol, Amersham Life Sciences) was added. Tritiated thymidine incorporation was measured after ten hours by the following method. Cells were washed with phosphate-buffered saline, followed by 10% trichloroacetic acid (TCA), and then 100% ethanol. Prior to the determination of the incorporation of  
15           radioactivity, cells were solubilized in 1 M potassium hydroxide and the solubilized cells were mixed with Ecolume scintillation fluid for measurement of tritiated thymidine incorporation.

U5A cells that were transfected with the wild-type IFNAR2c gene were extremely sensitive to the anti-proliferative activities of IFN $\beta$ 1b whereas U5A cells were not (Figure 2). U5A cells that were transfected with a mutant IFNAR2c gene  
20           that encodes a protein truncated at residue 462 were also sensitive to the anti-proliferative effects of IFN $\beta$ 1b. Two other truncation mutants, R2.417 and R2.246, and the R2.Y-F mutant (described in Example 1 above) were unresponsive to type I IFN. Therefore, truncation of the IFNAR2c receptor past residue 417 or removal of all the tyrosines present in the intracellular region of IFNAR2c (the R2.Y-F mutant)  
25           renders cells containing the two type I IFN receptor proteins insensitive to the anti-proliferative effects of type I IFNs. However, removal of the distal fifty-three residues (R2.462) of the IFNAR2c protein apparently has no effect on the receptor mediated anti-proliferative effects of type I IFNs. HT1080 cells expressing normal levels of the IFNAR2c receptor protein are only weakly sensitive to the anti-  
30           proliferative activities of IFN $\beta$ 1b.

**TABLE I**

	<i>Anti-proliferation</i>	<i>TUNEL apoptosis</i>	<i>Cell death</i>
HT1080 (parental)	+/-	-	-
HTbetaL.2	+++	+++	+++
MDA231 (parental)	+/-	+/-	-
MDAbetaL.1	ND	+++	+++
MDAbetaL.2	ND	+++	+++
U5A + IFNAR2c (wild -type)	+++	ND	+++
462 truncation	+++	ND	+++
356 truncation	-	ND	ND
Tyr-Phe mutant	-	ND	-

1. Anti-proliferation was measured by thymidine incorporation assay.
2. Cell death was measured by visual inspection as described in Example 4 below.
3. Apoptosis was measured by TUNEL assay, as described in Example 5 below.

**Example 3.**

IFNAR2c transfected HT1080 cells expressing enhanced levels of IFNAR2c were sensitive to the effects of both type I IFN $\beta$  and type I IFN $\alpha$ .

The effects of varying incubation times and concentrations of IFN $\beta$ 1b on HT1080 cells and HT1080 cells transfected with a wild-type IFNAR2c gene (HTbetaL.2 cells) were compared using an Alamar Blue™ assay (Biosource #DAL 1100) to measure mitochondrial activity. Cells were plated at subconfluent density in 6-well dishes and were incubated with 0, 50, 500 or 5000 IU/ml of IFN $\beta$ 1b for 0, 24 or 48 hours. At the various time points, Alamar Blue reagent was added to cells (1:10 dilution) and incubated on cells for 30 minutes. At 30 minutes, reduced/fluorescent Alamar Blue was detected with fluorescent plate reader. Both HT1080 cells and HTbetaL.2 cells exhibited dose- and time-dependent responses to IFN $\beta$ 1b (Figure 3). However, in contrast to HT1080 cells, HTbetaL.2 cells do not



continue to grow from 24 to 48 hours. Although the proliferative rate of HT1080 cells is reduced upon IFN $\beta$ 1b treatment, cell number continues to increase. In contrast, HTbetaL.2 cells actually decrease in cell number over time. This decrease is presumably due to apoptotic cell death induced in HTbetaL.2 cells and not in HT1080 cells.

A comparison of the anti-growth effects of both IFN $\beta$  and IFN $\alpha$  on HT1080 cells transfected with the IFNAR2c gene was conducted using a thymidine incorporation assay, described in Example 2 above, using 5000 IU/ml for both human IFN $\beta$ 1b (Betaseron) and human IFN $\alpha$ 2 (Figure 4). This comparison demonstrated that both of the IFNs tested had anti-growth effects on the transfected cells.

A comparison of the anti-growth effects of varying concentrations of both human IFN $\beta$ 1b and IFN $\alpha$ 2 on HT1080 cells transfected with the IFNAR2c gene (HTbetaL.2) was also conducted using an Alamar Blue assay (Figure 5). This comparison also demonstrated that IFN $\beta$  had a greater anti-growth effect than IFN $\alpha$ , and that this difference in effect between the two IFNs was more pronounced at higher concentrations of IFN.

#### **Example 4.**

IFNAR2c transfected HT1080 and MDA231 cells were much more sensitive to the anti-proliferative effects of type I IFNs than the parental cell lines.

HT1080 cells are epithelial-like cells derived from a human fibrosarcoma. A stable transfected cell line derived from HT1080 (HTbetaL.2), which expressed enhanced levels of IFNAR2c, was examined for morphological changes after treatment with IFN $\beta$ 1b (Table I). The cells were plated in a 6-well dish and were then treated with IFN $\beta$ 1b at a concentration of 500 IU/ml. After two days, untreated cells had formed a confluent carpet of cells (Figure 6, Panel A). IFN $\beta$ 1b treated cells had not grown, and less cells were apparent after treatment than before (Figure 6, Panel B). At higher magnifications, the morphology of the treated cells indicated that the cells were undergoing apoptosis (Figure 6, Panel C (20X) and Panel D (40X)). Treated cells appeared to be shedding remnants of cell proteins and DNA in spherical "apoptotic bodies". HT1080 cells were not as severely affected by treatment with

IFN $\beta$ 1b as HTbetaL.2 cells, and apoptotic bodies were not observed in IFN $\beta$ 1b treated HT1080 cells (Figure 6, Panel B).

Two stable transfected cell lines derived from MDA231 (MDAbetaL.1 and MDAbetaL.2), which expressed enhanced levels of IFNAR2c, were examined for morphological changes after treatment with IFN $\beta$ 1b (Table I). Cells were treated with IFN $\beta$ 1b at a concentration of 500 IU/ml for three days before observation. The growth of parental MDA231 cells was only slightly impaired by treatment with IFN $\beta$ 1b, as shown in Figure 7, Panel A (untreated parental cells) and Panel B (treated parental cells). In contrast, MDAbetaL.2 cells were drastically inhibited in their growth when treated with 500 IU/ml IFN $\beta$ 1b, as shown in Figure 7, Panel C (untreated cells) and Panel D (treated cells). Like HT1080 cells that expressed enhanced levels of IFNAR2c, MDAbetaL.2 cells also appeared morphologically to undergo apoptosis when treated with IFN $\beta$ 1b. Morphological changes were more difficult to assess in MDA231 cells than in HT1080 cells because normal MDA231 cells tend to appear rounded in shape, whereas HT1080 cells normally grow as flat triangles. However, enhanced apoptotic effects were observed in MDAbetaL.2 cells upon treatment with type I IFNs.

#### **Example 5.**

HT1080 and MDA231 cells expressing enhanced levels of IFNAR2c protein were examined by TUNEL assay to confirm that the cells undergo apoptosis after treatment with IFN $\beta$ 1b.

Apoptosis was measured in treated cells using a TdT-mediated dUTP nick end labeling (TUNEL) assay to label fragmented nuclear DNA, which is indicative of apoptosis (*In Situ Cell Death Detection Kit*, Boehringer Mannheim). In the TUNEL assay, fluorescent FITC labeled UTP nucleotides were transferred to the ends of fragmented DNA generated that is generated in the nuclei of cells undergoing apoptosis. Apoptotic cells stain bright green under a fluorescent microscope. After four days of IFN treatment, cells in 6-well cell culture plates were fixed for one hour at ambient temperature in 1X PBS containing 4% paraformaldehyde. Cells were then treated for one hour at ambient temperature with 0.3% H<sub>2</sub>O<sub>2</sub> in methanol. Cells were then permeabilized for thirty minutes at ambient temperature in 0.3% Triton-X100 in

PBS and were then washed, two times, with PBS. Fixed and permeabilized cells were dried, and cells fields were circled with a PAP pen (Biogenex). Apoptotic nuclei were then enzymatically labeled with the fluorescent label (FITC) as follows. Cells were incubated for forty-five minutes at 37°C with 100 µl staining reagent from Boehringer Mannheim (450 µl Label Solution + 50 µl enzyme solution). Stained cells were washed twice with PBS and were then imaged under a fluorescent microscope.

Untreated transfected cells showed a limited number of TUNEL positive nuclei (Figure 8: Panel A, brightfield view; Panel B, fluorescent microscope). Transfected cells that were treated with IFNβ1b showed a carpet of green TUNEL positive nuclei indicating a large fraction of cells were in the final stages of apoptosis (Figure 8: Panel C, brightfield view; Panel D, fluorescent microscope). The small fraction of TUNEL positive cells in untreated cells may reflect endogenous production of low levels of type I IFN. HT1080 parental cells showed absolutely no signs of apoptosis after IFNβ1b treatment, indicated by a complete lack of TUNEL positive nuclei (Figure 8: Panel E, brightfield view; Panel F, fluorescent microscope).

The results obtained with TUNEL assay of MDA231 cells were similar to those obtained with HT1080 cells. Untreated, transfected MDA231 cells (MDAbetaL.2) showed a limited number of TUNEL positive nuclei (Figure 9: Panel A, brightfield view; Panel B, fluorescent microscope). Transfected MDA231 cells (MDAbetaL.2) that were treated with IFNβ1b showed a carpet of green TUNEL positive nuclei indicating a large fraction of cells were in the final stages of apoptosis (Figure 9: Panel C, brightfield view; Panel D, fluorescent microscope). Parental MDA231 cells showed only minor signs of apoptosis when treated with 500 IU/ml of IFNβ1b for four days (Figure 8: Panel C, brightfield view; Panel D, fluorescent microscope). The fraction of TUNEL positive cells in untreated MDAbetaL.2 cells may reflect endogenous production of low levels of type I IFN.

#### **Example 6.**

A human tumor cell line transfected with IFNAR2c was more sensitive to the *in vivo* anti-growth activity of IFNβ1b than the parental cell line.

Injection of LOX human melanoma cells into the tail vein of nude mice will result in the development of defined tumors in the lungs at four weeks post-injection. This model was employed to assess the effectiveness of IFN $\beta$ 1b treatment of tumor cells that express enhanced levels of the IFNAR2c protein. Nude mice (15 per group) were injected with either parental LOX cells or LOX cells transfected with a gene encoding an IFNAR2c receptor protein. Every other day, starting on day 2 post-injection, mice were injected intraperitoneally with either 200  $\mu$ g Betaseron or an equivalent volume (200  $\mu$ l) of saline. At 28 days post-injection, the mice were sacrificed and their lungs were removed and homogenized. DNA was extracted by proteinase K digestion followed by purification over a Qiagen column. The amount of human DNA in the lungs, an indirect measure of the number of LOX parental or LOX-IFNAR2c tumor cells, was quantified by TaqMan<sup>®</sup> PCR, using primers and probes that are specific for the human CCR5 gene. A standard curve was prepared using purified human genomic DNA.

Both LOX parental and LOX-IFNAR2c cells were sensitive to the anti-growth activity of systemic Betaseron *in vivo*, and LOX cells transfected with IFNAR2c displayed enhanced sensitivity.

Injection of MDA231 cells into the thigh muscle of nude mice will result in the development of defined tumors at two weeks post-injection. This model may be used to assess the effectiveness of IFN $\beta$ 1b treatment of tumor cells that express enhanced levels of the IFNAR2c protein. Nude mice are injected with either parental MDA231 cells or MDA231 cells transfected with a gene encoding an IFNAR2c receptor protein. The effect of IFN $\beta$ 1b administered systemically in inhibiting tumor proliferation in the injected mice is assessed by sacrificing the mice and measuring the size of the tumors.

The preceding examples can be repeated with similar success by substituting the generically or specifically described reactants and/or operating conditions of this invention for those used in the preceding examples.

From the foregoing description, one skilled in the art can easily ascertain the essential characteristics of this invention and, without departing from the spirit and

